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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Miwa KOZAWA et al.**

Group Art Unit: **1752**

Serial No.: **10/629,806**

Examiner: **LEE, SIN J**

Filed: **July 30, 2003**

Confirmation No.: **9494**

For: **RESIST PATTERN THICKENING MATERIAL, PROCESS FOR  
FORMING RESIST PATTERN, AND PROCESS FOR  
MANUFACTURING SEMICONDUCTOR DEVICE**

Attorney Docket No.: **030923**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

October 29, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. One copy of each of these references is attached.

This Information Disclosure Statement is being submitted after expiration of the three month period following filing of the above-captioned application and/or the issuance of a first official action on the merits, but prior to issuance of either a final official action or a Notice of Allowance.

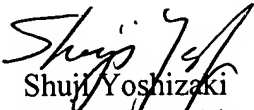
Each item of information contained in this statement was first cited in any communication from the China Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement. A translation of the Office Action is also attached. US 4,572,870 is not enclosed in this statement because it has already been submitted in the Information Disclosure Statement filed on March 26, 2004.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

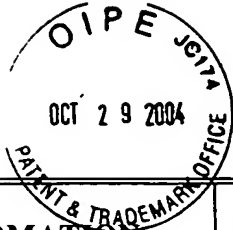
Respectfully submitted,

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Enclosures: Limited Recognition  
PTO-1449 and 3 references  
Chinese Office Action with English Translation



**INFORMATION  
DISCLOSURE  
CITATION  
PTO-1449**

Atty. Docket No. 030923

Serial No. 10/629,806

Applicant(s): Miwa KOZAWA et al.

Filing Date: July 30, 2003

Group Art Unit: 1752

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

**FOREIGN PATENT DOCUMENTS**

Document No.			Date	Country	Translation (Yes or No)
_____	AF	CN 1199922A	11/25/1998	China	No
_____	AG	CN 1350205A	05/22/2002	China	No
_____	AH	CN 1221971A	07/07/1999	China	No
_____	AI				
_____	AJ				
_____	AK				
_____	AL				
_____	AM				

**OTHER DOCUMENTS**

_____	AN	
Examiner	Date Considered	